

## Phytoplankton size-structure on the western shelf of the Antarctic Peninsula: a remote-sensing approach

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(Received 9 July 2006; in final form 25 January 2007)

Remote-sensing models based on total ( $b_b$ ) and particle ( $b_{bp}$ ) backscattering are proposed for estimating phytoplankton size-structure characteristics over the Western Antarctic Peninsula (WAP) waters. It is hypothesized that phytoplankton assemblages with larger cells will have lower spectral  $b_b$  and  $b_{bp}$  slopes ( $\gamma$ ). Likewise, a higher concentrations of total chlorophyll  $a$  ( $chl_T$ ) will coincide with larger phytoplankton cells. Values of  $\gamma_{b_{bp}}$ , derived from satellite and *in situ* remote-sensing reflectance ( $R_{rs}$ ) measurements were matched up with field determinations of chlorophyll  $a$  concentration fractions ( $chl_{(>20\ \mu m)}$  and  $chl_{(0.45-20\ \mu m)}$ ) collected between 1997 and 2006 as part of the Palmer-LTER project. Functionalities between *in situ* measurements of  $\gamma_{b_b}$  and chlorophyll  $a$  fractions were also investigated. A consistent inverse relationship between  $chl_{(>20\ \mu m)}/chl_T$  and  $\gamma$  ( $b_b$  and  $b_{bp}$ ) values was found and verified with two approaches: spatial matchup of satellite monthly composites and time-space matchup of photosynthetic pigment markers. Based on satellite data, a  $\gamma_{b_{bp}}$  value of 1.668 was a fair predictor to differentiate WAP waters dominated by ‘large’ ( $chl_{(>20\ \mu m)}/chl_T \geq 0.5$ ,  $\gamma_{b_{bp}} \leq 1.668$ ) vs ‘small’ ( $chl_{(>20\ \mu m)}/chl_T < 0.5$ ,  $\gamma_{b_{bp}} > 1.668$ ) phytoplankton cells. A significant negative linear relationship between 19'-butanoylox-yfucoxanthin, fucoxanthin, and  $\gamma_{b_{bp}}$  values suggest that *Phaeocystis* aggregates and large diatoms ( $>20\ \mu m$ ) would explain most of the  $chl_{(>20\ \mu m)}/chl_T$ - $\gamma$  variability. No relationships were evident between  $chl_T$  values and  $\gamma$  values. Although our results cannot be generalized to other oceanic regions, this work is the first to provide evidence about the significant influence of phytoplankton size distributions on spectral backscattering of WAP waters. Furthermore, and based on chlorophyll  $a$  fraction analysis, it was the  $>20\ \mu m$  phytoplankton cells that were responsible for such  $\gamma$  variations seen on satellite and *in situ* values.

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## 1. Introduction

Phytoplankton size-structure plays a crucial role in controlling the trophic and biogeochemical functioning of pelagic ecosystems (Tremblay and Legendre 1994). The relative proportion between cells with different size is a decisive factor for selecting food transference pathways (phytoplankton–zooplankton vs phytoplankton–bacteria), carbon export into deep waters, nutrient cycling in the euphotic zone and differential sequestering of atmospheric CO<sub>2</sub> (Walsh *et al.* 2001). Consequently, characterization of phytoplankton size distributions provides crucial information for modelling global climate change scenarios. For instance, in the Southern Ocean, alterations of phytoplankton size distributions have been related to reduced surface water salinities caused by a regional warming trend (Moline *et al.* 2004a).

Mesoscale mapping of the phytoplankton size-structure using traditional (e.g. microscopic observations; Garibotti *et al.* 2003b) or advanced (e.g. flow cytometer; Collier 2000) oceanographic methods is generally arduous or deals with relatively expensive instruments that require a high degree of technical expertise. Furthermore, ship surveys are costly and time-consuming, and do not allow a complete and simultaneous coverage of the study area. Fortunately, the use of space-borne remote-sensing measurements may overcome these field-sampling limitations. Indirect estimation of the phytoplankton size-structure has been proposed using bio-optical algorithms based on light absorption (e.g. influence of cell size on packaging effect; Carder *et al.* 1986, Ciotti *et al.* 1999). However, no ocean-colour relationships exist to link satellite remote-sensing reflectance ( $R_{rs}$ ) with phytoplankton size-structure using a ‘direct’ approach.

Similar to absorption coefficients ( $a=c-b$ , where  $c$  and  $b$  are the total attenuation and scattering coefficients, respectively; table 1), total backscattering coefficients ( $b_b$ ) at different wavelengths can be obtained in a reliable way from inversion of  $R_{rs}$  spectra (Weidemann *et al.* 1995, Carder *et al.* 1999a, Moline *et al.* 2004b). Notice that  $b_b$  values have two contributions: water or molecular ( $b_{bw}$ ) and particles ( $b_{bp}$ ). Interpretation of spectral shapes of particle backscattering ( $b_{bp}$ ) is a widespread tool to derive bulk particle size characteristics of field samples (Gordon and Morel 1983, Green and Sosik 2004, Aas *et al.* 2005). As the size of the particles decreases and/or monodispersion is approached (e.g. phytoplankton bloom), a larger variability of  $b_b$  and  $b_{bp}$  spectral shapes is observed (Gordon and Morel 1983, Vaillancourt *et al.* 2004). A general spectral model of backscattering is:

$$b_{bx}(\lambda) = M(\lambda_o/\lambda)^{\gamma_{bx}}, \quad (1)$$

where  $\lambda$  is the light wavelength in the visible range (400–700 nm),  $x$  indicates the total (water+particles) or particle components,  $M$  is the magnitude of backscattering at the reference wavelength  $\lambda_o$ , and  $\gamma_{bx}$  is the spectral slope that increases as particles become smaller, or when the water contribution is larger. The parameter  $M$  is generally a function of  $b_b$  (555) (Reynolds *et al.* 2001),  $b_{bp}$  (400) (Lee *et al.* 1999),  $b_{bp}$  (555) (Lee *et al.* 2002), or  $R_{rs}$  (555) (Carder *et al.* 1999b), while  $\gamma_{bx}$  can be assumed constant (Morel 1973) or variable (Lee *et al.* 1996, Carder *et al.* 1999b, Reynolds *et al.* 2001). Based on lab experiments, field measurements, and Mie theory calculations, there is no general consensus as to whether the spectral shape of  $b_{bp}$  is controlled by phytoplankton (Bricaud and Morel 1986, Vaillancourt *et al.* 2004), aggregates (Flory *et al.* 2004), colloids (Stramski and Wozniak 2005), or non-living particles (detritus and minerals; Stramski *et al.* 2001). Most of this

Table 1. Summary of main acronyms and notation.

Abbreviation	Definition	Units
WAP	Western Antarctic Peninsula	
PRR	profiling reflectance radiometer	
Pal-LTER	Palmer-Long Term Ecological Research project	
MLAC	merged local area coverage	
GAC	global area coverage	
HPLC	high-pressure liquid chromatography	
SMMR	scanning multi-channel microwave radiometer	
SSM/I	special sensor microwave/imager	
RMSlog	root mean square error of $\log_{10}$ values	
$\epsilon_{rr}$	linear error	
$\epsilon_{\text{Filter}(>20\mu\text{m})}$	detection error of waters dominated by $>20\mu\text{m}$ phytoplankton cells	
$\rho$	sea-surface reflectance	
$t_d$	transmittance across the sea-surface	
$n_w$	index of refraction of water	
$R_{rs}$	remote-sensing reflectance	$\text{sr}^{-1}$
$nL_w$	normalized water leaving radiance	$\text{W m}^{-2} \text{sr}^{-1} \text{nm}^{-1}$
$F_o$	extraterrestrial solar irradiance	$\text{W m}^{-2}$
$E_d$	downwelling irradiances	$\text{W m}^{-2}$
$L_u$	upwelling radiance	$\text{W m}^{-2} \text{sr}^{-1} \text{nm}^{-1}$
$K_d$	vertical downwelling diffuse attenuation coefficient	$\text{m}^{-1}$
$K_d^*$	chlorophyll <i>a</i> -normalized $K_d$	$\text{m}^2 \text{mg chl}^{-1}$
$\beta_{140}$	volume scattering function measured at $140^\circ$	$\text{sr}^{-1} \text{m}^{-1}$
$\chi$	conversion factor of backscattering meter	sr
$\sigma$	sigma correction factor of backscattering	
$b$	scattering coefficient	$\text{m}^{-1}$
$c$	attenuation coefficient	$\text{m}^{-1}$
$b_b$	total backscattering coefficient	$\text{m}^{-1}$
$b_b'$	uncorrected total backscattering coefficient	$\text{m}^{-1}$
$b_{bp}$	particle backscattering coefficient	$\text{m}^{-1}$
$b_{bw}$	water backscattering coefficient	$\text{m}^{-1}$
$\overline{b_b}$	backscattering efficiency	
$\gamma$	spectral backscattering slope	
$a$	absorption coefficient	$\text{m}^{-1}$
$a_w$	water absorption coefficient	$\text{m}^{-1}$
$a_g$	gelbstoff absorption coefficient	$\text{m}^{-1}$
$a_d$	detritus absorption coefficient	$\text{m}^{-1}$
$a_{ph}^*$	chlorophyll <i>a</i> -normalized phytoplankton absorption coefficient	$\text{m}^2 \text{mg chl}^{-1}$
$\zeta_g$	slope of gelbstoff spectral absorption	$\lambda^{-1}$
$\zeta_d$	slope of detritus spectral absorption	$\lambda^{-1}$
$\text{chl}_T$	total chlorophyll <i>a</i> concentration	$\text{mg m}^{-3}$
$\text{chl}_{DS}$	chlorophyll <i>a</i> concentration at the surface estimated by Dierssen and Smith (2000) algorithm	$\text{mg m}^{-3}$
$\text{chl}_{b_b}$	total chlorophyll <i>a</i> concentration averaged between 0 and 10 m	$\text{mg m}^{-3}$
$\overline{Q_{b_b}}$	spectral backscattering efficiency	

discrepancy assessing the role of each backscatter component to spectral  $b_{bp}$  values is related to the lack of *in situ* and satellite measurements and uncertainties of scattering phytoplankton models (Quirantes and Bernard 2004). We hypothesize that phytoplankton assemblages with a different mean bulk cell size will have different spectral backscattering signatures (e.g. phytoplankton communities with larger cells will have lower spectral  $b_b$  and  $b_{bp}$  slope values).

The aim of this study is to examine the bio-optical relationships between slopes ( $\gamma_{b_b}$  and  $\gamma_{b_{bp}}$ ) of spectral backscattering coefficients ( $b_b$  and  $b_{bp}$ ), and size-structure parameters of oceanic and coastal marine phytoplankton communities of the Southern Ocean. Size-backscattering functionalities were tested with phytoplankton samples obtained during the Palmer Long-Term Ecological Research project (Pal-LTER) in the western shelf of the Antarctic Peninsula (WAP). The Pal-LTER programme has been active since 1993 as a sustained framework to elucidate physical and biological mechanisms affecting the Antarctic ecosystem including phytoplankton dynamics along a large time-space range of scales (Smith *et al.* 1995). Remote-sensing empirical relationships based on spectral slope of  $b_{bp}$  values will be investigated using chlorophyll *a* fractions, *in situ*  $R_{rs}$  estimations, and satellite  $R_{rs}$  data from the Sea-viewing Wide Field-of-view Sensor (SeaWiFS) collected during summer cruises. Likewise, since the total phytoplankton chlorophyll *a* concentration ( $chl_T$ ) and cell abundance correlate inversely with mean cell size over the WAP area (Bidigare *et al.* 1996), the relationships between  $chl_T$  and phytoplankton size-structure will also be explored.

## 2. Methods

### 2.1 Size-structure indices

To estimate the average bulk cell size of diverse phytoplankton assemblages of WAP waters, two size-structure indices were analysed:  $\gamma_{b_{bx}}$  and  $chl_T$ . Since both parameters can be estimated from  $R_{rs}$  measurements, they can be used to map horizontal distributions of phytoplankton size spectra, even from space.

**2.1.1 Spectral slope of particle and total backscattering coefficient.** The spectral slope of particle backscattering coefficient ( $\gamma_{b_{bp}}$ ) is an optical parameter that is sensitive to variations of particle size distributions and water/particle contributions (Gordon *et al.* 1980, Gordon and Morel 1983). In Antarctic waters not influenced by terrigenous inputs, phytoplankton is the main optical constituent affecting particle light attenuation, since detritus is normally in low concentrations (Mitchell and Holm-Hansen 1991, Dierssen *et al.* 2002). Hence, in Southern Ocean waters, it might be expected that variability on  $\gamma_{b_{bx}}$  is connected to modifications of phytoplankton size-structure.

Values of  $\gamma_{b_{bp}}$  were obtained using an empirical relationship proposed originally for the Moderate Resolution Imaging Spectroradiometer (MODIS) and adjusted with data collected over upwelling regions and high-latitude waters of Antarctica including the WAP survey site (Carder *et al.* 2004):

$$\gamma_{b_{bp}} = Y_0 + Y_1 R_{rs}(443)/R_{rs}(488) Y_0 = -1.13, Y_1 = 2.57. \quad (2)$$

Original MODIS wavelengths are slightly different from SeaWiFS wavelengths (e.g. 488 nm instead of 490 nm), even though these differences ( $\sim 2$  nm) may be considered negligible (O'Reilly *et al.* 1998). Computation of  $\gamma_{b_{bp}}$  is part of a semi-empirical model of  $chl_T$  and absorption that accounts for phytoplankton acclimation (i.e. packaging effect or flattening of phytoplankton absorption peak with increasing intracellular pigment concentration) to different light and nutrient regimes (Carder *et al.* 1999b). Values of  $\gamma_{b_{bp}}$  (slope in equation (1)) are estimated by inversion of  $R_{rs}$  with a predictor-corrector approach (Lee *et al.* 1994). Notice that parameterization of  $Y_0$  and  $Y_1$  is relatively insensitive to phytoplankton

photoacclimation (Carder *et al.* 2004). Since gelbstoff, detritus, and phytoplankton absorption values covary in Antarctic waters (Reynolds *et al.* 2001), the reflectance ratio in equation (2) is mainly influenced by spectral changes in backscattering.

Due to the dependence between  $b_{bp}$  and  $b_b$ , it would not be surprising to expect analogous relationships between changes on  $\gamma_{b_b}$  and phytoplankton size-structure characteristics. Based on field measurements, Reynolds *et al.* (2001) found an inverse relationship between  $\gamma_{b_b}$  and  $b_b$  (555) values of Ross Sea and Antarctic Polar Front samples:

$$\gamma_{b_b} = -3.616 \log_{10}[b_b(555)] - 6.866. \quad (3)$$

Unlike equation (2), equation (3) may not be computed with satellite  $R_{rs}$  measurements over the WAP region for two reasons: (1) the  $\gamma_{b_b}$  algorithm was developed with data collected over waters with a phytoplankton community and optical environment different from that typical of the western shelf of the Antarctic Peninsula; (2) the resulting model is inaccurate due to two additive errors, one related to  $b_b$  (555) estimation and the other linked to  $\gamma_{b_b}$  calculation. Despite these limitations, *in situ*  $\gamma_{b_b}$  estimations were made to further support potential relationships between spectral backscattering curves and size properties of phytoplankton samples (see *in situ* optical measurements).

**2.1.2 Total chlorophyll *a*.** Although the use of  $chl_T$  to infer phytoplankton cell size gradients in Antarctic waters was pointed out during the 1990s (Smetacek *et al.* 1990, Bidigare *et al.* 1996), *in situ* and remote-sensing  $chl_T$  measurements made over the WAP waters have been uniquely applied to study spatial and temporal changes on phytoplankton primary production or biomass. In this study, a regional  $R_{rs}$  algorithm proposed for WAP waters will be used to derive  $chl_T$  (hereafter  $chl_{DS}$ ; Dierssen and Smith 2000):

$$chl_{DS} = 10^{A+BX+CX^2+DX^3}, \quad (4)$$

where  $X = \log(R_{rs}(490)/R_{rs}(555))$ ,  $A = 0.641$ ,  $B = -2.058$ ,  $C = -0.442$ , and  $D = -1.140$ .

The reflectance model in equation (4) was developed with a large bio-optical data set (>1000 stations) and differs from other models proposed for estimating  $chl_T$  in lower-latitude oceanic regions around the world. Over the WAP region, water-leaving radiance is significantly higher in the blue region and lower in the green region of the spectrum for  $chl_T$  values  $>1 \text{ mg m}^{-3}$  (Dierssen and Smith 2000). For this reason, the  $chl_{DS}$  values are roughly twice as high as those  $chl_T$  calculated from global ocean colour models such as SeaWiFS OC-4 (O'Reilly *et al.* 1998).

## 2.2 Optical measurements

**2.2.1 *In situ*.** A field survey of optical properties consisted of two datasets, one dedicated to estimate  $\gamma_{b_{bp}}$  using apparent radiometric quantities (e.g.  $R_{rs}$ ) and the other designed to derive  $\gamma_{b_b}$  from single-angle volume scattering function measurements at different wavelengths and lab determinations of inherent optical quantities (e.g. particle and gelbstoff absorption).

In the first approach, optical properties were estimated ( $R_{rs}$ ) or measured ( $E_d$ : downwelling irradiance and  $L_u$ : upwelling radiance) with a profiling reflectance radiometer (PRR, Biospherical Instruments Inc.) between 1998 and 2006. The multiyear dataset was composed of profiles collected in waters with different levels

of  $chl_T$  and distances to the shore. Due to the loss of PRR-600/610 during a storm, optical measurements after 2002 were performed with a PRR-800/810. Both instruments share the same radiometric channels (412, 443, 490, 510, 555, and 665 nm), but PRR-800/810 has two additional spectral bands in the visible range (532 and 670 nm). The sampling grid (500 km along the shelf  $\times$  250 km across the shelf) was covered in 6 weeks during January/February of each year (figure 1). PRR profiles were performed between 6:00 and 17:00 h from the Sun-illuminated side of the ship. Correction of  $E_d$  and  $L_u$  values by depth and dark count offsets was performed for each profile following Smith *et al.* (1997). Calculations of  $E_d$  and  $L_u$  values just below the sea surface were obtained by linear regression of values in a semi-log scale within 0–5 m (inshore) and 0–15 m (middle-shelf and oceanic waters), and extrapolation of the fitting curve to the surface. Notice that vertical

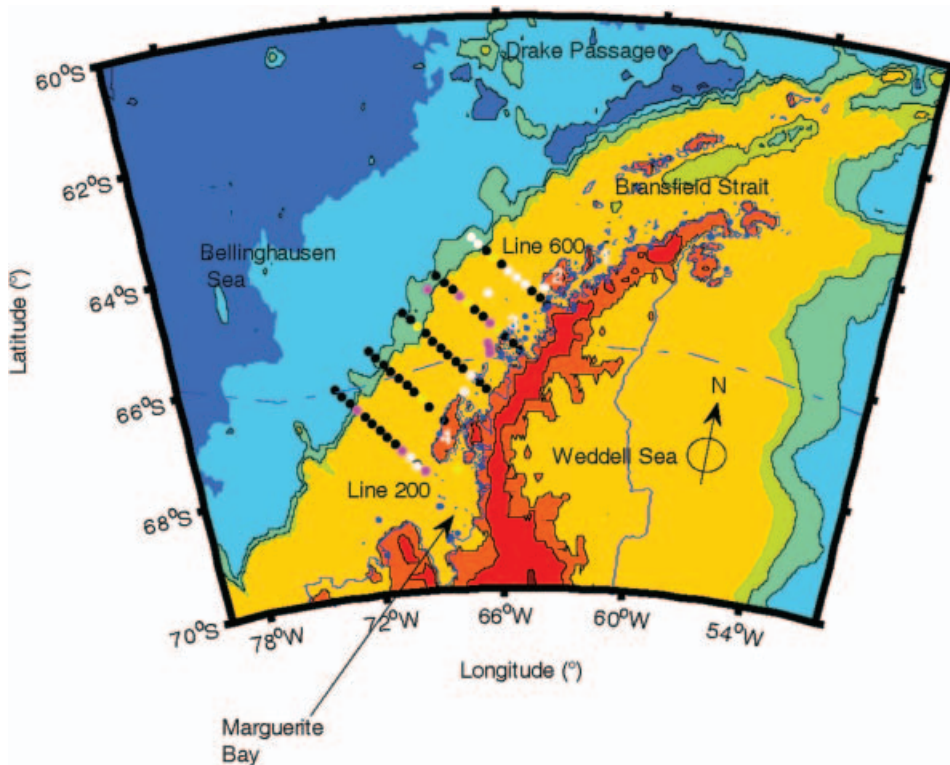


Figure 1. Map of the study area. Geographic points are represented using a conic Lambert projection. Sampling grid stations of Palmer LTER region (•). 1: Bransfield Strait; 2: Anvers Island; 3: Renaud Island; 4: Adelaide Island. Shelf lines 200–600 are spaced every 100 km and are covered during each cruise in about 28 days. Across-shelf stations 0–60, 80–120, and 140–220 contain inshore, middle shelf, and shelf-break or slope stations. Medium-resolution coastline data (1 : 75 000) were obtained from the NOAA/NOS coastline extractor website and include the perennial sea ice edge (<http://rimmer.ngdc.noaa.gov/mgg/coast/getcoast.html>) (continuous blue line). The seasonal sea ice extent is indicated for an average year (summer 1997) (National Snow and Ice Data Center is shown; Smith and Stammerjohn 2001) (dashed blue line). Bathymetric contours were constructed with a 5-min ( $\sim$ 8 km) topographic dataset with isobaths every 1 km (TerrainBase, National Geophysical Data Center). Match-ups between PRR (white circles), HPLC (magenta circles), HydroScat-6 (yellow circles), and  $chl_{(>20\mu m)}$  data (all circles) are shown as solid circles.

downwelling diffuse attenuation coefficient ( $K_d$ ) values can be derived from slope values of  $E_d$  regressions (Smith and Baker 1981). To avoid spectral artifacts (e.g. due to variable cloud cover) on  $E_d$  and  $L_u$ , only those profiles made during clear days and ice-free conditions were chosen.  $R_{rs}$  below the sea-surface was derived from  $L_u(0^-, \lambda)$  and  $E_d(0^-, \lambda)$ :

$$R_{rs}(0^-, \lambda) = L_u(0^-, \lambda) / E_d(0^-, \lambda). \quad (5)$$

*A posteriori*,  $R_{rs}(0^-, \lambda)$  was scaled by a transmission factor ( $t_r$ ) to obtain  $R_{rs}$  above the sea surface or  $R_{rs}(0^+, \lambda)$ :

$$R_{rs}(0^+, \lambda) = t_r(\lambda) R_{rs}(0^-, \lambda) \quad (6)$$

$$t_r(\lambda) = t_d(1 - \rho(\lambda, \theta)) / n_w^2. \quad (7)$$

A water-air Fresnel reflectance ( $\rho(\lambda, \theta)$ ) of 0.021–0.022 was assumed and spectrally corrected (Mobley 1994),  $n_w$  is the index of refraction of water at 0°C and the transmittance across the sea surface ( $t_d$ ) was approximated to 0.96 (Smith and Baker 1986). Similar to theoretical findings (Mobley 1994),  $t_r$  values varied between 0.515 and 0.524. The consistency of  $R_{rs}(0^+, \lambda)$  estimations was checked using surface radiometric measurements. Although no direct *in situ* measurements of  $R_{rs}(0^+, \lambda)$  were performed to validate PRR estimates, a maximum of 24% error (root mean square) based on field sampling conditions (Toole *et al.* 2000) is expected. PRR-derived  $R_{rs}(0^+, \lambda)$  values were used to calculate  $\gamma_{b_{bp}}$  according to Carder *et al.* (2004).

In the second approach, spectral backscattering measurements at one angle were made between 10 and 25 January 2001 (figure 1) using a backscattering meter (HydroScat-6 or HS-6, Hobi Labs). For each channel (442, 488, 532, 555, 620, and 676 nm), readings within each of the first 10 m were binned and averaged after correcting each profile by path-length attenuation (sigma factor). Uncorrected total backscattering coefficients ( $b_b(\lambda)'$ ) were estimated from the uncorrected volume scattering function measured at 140° ( $\beta_{140w}$ ):

$$b_b(\lambda)' = b_{bw}(\lambda) + 2\pi\chi[\beta_{140u}(\lambda) - \beta_{140w}(\lambda)] \quad (\text{Dana and Maffione 2002}) \quad (8)$$

$$\beta_{140w}(\lambda) = \beta_{140w}(\lambda_o) [(\lambda_o) / \lambda]^{4.32} \quad (\text{Morel 1974}). \quad (9)$$

The contribution of water to backscattering ( $b_{bw}$ ) is  $0.5b_w$ , where  $b_w$  is derived for the clearest oceanic measurements (salinity=35; Morel 1974, Smith and Baker 1981),  $\chi=1.08$  sr is a conversion factor that varies slightly and linearly around 120°, and depends on sensor geometry (Oishi 1990),  $\beta_{140w}$  is the uncorrected water backscattering contribution, and  $\lambda_o$  is a reference wavelength (Hobi Labs uses 525 nm). Corrected spectral backscattering values were obtained after correction of scattered signal by two methods: light source-sample volume and sample volume-detector; and path-length attenuation or sigma factor ( $\sigma$ ):

$$b_b(\lambda) = \sigma b_b(\lambda)' \quad (\text{Dana and Maffione 2002}) \quad (10)$$

$$\sigma = k_1 e^{k_{\text{exp}} k_{b_b}} \quad (11)$$

$$\begin{aligned} K_{bb}(\lambda) &= a(\lambda) + 0.4b(\lambda) \\ &= a_w(\lambda) + 0.06a_{ph}^*(\lambda) \text{chl}_T^{0.65} \end{aligned} \quad (12)$$

$$1 + 0.2e^{-\xi \cdot g(\lambda_r - 440)} + a_d(400)e^{-\xi \cdot d(\lambda_r - 440)} + 0.4 \left( b_b(\lambda)' - b_{bw}(\lambda) \right) / \overline{b_b}.$$

$k_1$  is a calibration parameter that compensates for the attenuation in the water used for the calibration ( $\sim 1$ ),  $K_{b_b}$  accounts for the optical medium attenuation,  $K_{\text{exp}}$  is a calibration parameter which is specific to the individual instruments. Notice that in equation (12) the absorption term is a variant of Morel's (1991) model where there is implicitly a covariation between phytoplankton and gelbstoff absorption of light. Originally, the  $a$  and  $b$  coefficients are derived from a spectral beam attenuation instrument (AC-9, WetLabs). In this work, values of chlorophyll-specific phytoplankton ( $a_{\text{ph}}^*$ ), detritus ( $a_d$ ), and gelbstoff ( $a_g$ ) absorption, and spectral slopes of gelbstoff ( $\xi_g$ ) and detritus ( $\xi_d$ ) were obtained from spectrophotometric measurements (Perkin-Elmer Lambda 40) of water samples (Fargion and Mueller 2000) gathered between 0 and 8 m. Similar to other studies in Antarctic waters (Reynolds *et al.* 2001), reliable gelbstoff determinations were done with a 10-cm quartz cuvette (signal-to-noise=3–5). Water absorption ( $a_w$ ) between 400 and 700 nm is derived for pure water samples (Pope and Fry 1997) and adjusted by temperature and salinity changes (Pegau and Zaneveld 1993, Pegau *et al.* 1997). Values of  $\xi_g$  were calculated by fitting a single exponential model to spectral curves of gelbstoff absorption:

$$a_g(\lambda) = a_g(\lambda_r) e^{-\xi_g(\lambda_r - \lambda)} \quad (\text{Jerlov 1976}). \quad (13)$$

Unlike hyperbolic models, the exponential adjustment is highly affected by the spectral range, even though it is the most used model for remote-sensing algorithms (Twardowski *et al.* 2004). To match equation (12),  $\lambda_r$  was 440 nm instead of 412 nm, the reference wavelength used by Jerlov (1976). Nonlinear regression using the Marquardt–Levenberg algorithm was used between 412 and 590 nm, since this range is important for ocean colour relationships and is not influenced by absorption of other dissolved compounds such as salts. Preliminary results showed insignificant differences between hyperbolic and exponential models to estimate  $\xi_g$  between 412 and 590 nm. Values of  $\xi_d$  were also computed between 412 and 590 nm using equation (13) and  $\lambda_r$  of 400 nm.

Backscattering efficiency ( $\bar{b}_b = b_b/b$ ) was estimated from Monte Carlo simulations for waters with chl<sub>T</sub> values of 0.3 ( $\bar{b}_b = 0.025272$ ) and 5 mg m<sup>-3</sup> ( $\bar{b}_b = 0.018127$ ) (Montes-Hugo 2005). Calculation of  $b_b$  using equation (10) has a standard error of 9% when values are computed for pure waters (Dana and Maffione 2002). Backscattering error due to temperature changes is compensated by HydroScat-6 using a reference LED. Based on Morel (1974) and Boss and Pegau (2001), error estimation of  $b_b$  due to salinity effects (33–35 psu over WAP waters) was considered minor (1.3%) compared with other uncertainties. Spectral slopes of  $b_b$  were computed from equation (1) by fitting a log–log curve with a  $\lambda_o = 555$  nm and  $M = b_b$  (555). Derivation of  $\gamma_{b_{bp}}$  from  $\gamma_{b_b}$  after subtracting water backscattering contribution is not recommended on high-nutrient low-chlorophyll  $a$  (most chl<sub>T</sub> values  $\sim 0.1$  mg m<sup>-3</sup>) Antarctic oceanic waters, since seawater accounts for the greatest contribution to  $b_b(\lambda)$  at shorter wavelengths of the visible range (Reynolds *et al.* 2001).

**2.2.2 Satellite imagery.** Merged local area coverage satellite scenes (MLAC) were obtained from SeaWiFS's (<http://oceancolour.gsfc.nasa.gov/>, NASA). MLAC's have pixels with 1.1-km resolution at nadir and are composite images of several data streams (onboard and HRPT stations) over the region of interest and for a given orbit. For the years 2005 and 2006, only global area coverage images (GAC) with



4.5-km spatial resolution were available. Geolocated and atmospheric corrected imagery products (L2) were collected between 60° S 80° W and 70° S 50° W, so satellite scenes included coastal and oceanic domains of the WAP (figure 1). Furthermore, they encompassed regions where phytoplankton assemblages have a different composition and size-structure (Garibotti *et al.* 2005). Spring and summer images were browsed between 1997 and 2006, and only those swaths containing a minimum of 25% pixels with geophysical units were chosen. To remove multiple-scattering effects (Sun zenith angle greater than 50°) on atmospheric correction (Gordon *et al.* 1988), only image datasets between 1 November and 8 February of each year were analysed. Imagery corresponded to scenes captured between 8:00 and 17:00 local time. For each pixel and wavelength ( $\lambda=443, 490, 555$  nm) remote-sensing reflectance measurements were derived from normalized water leaving radiance ( $nL_w$ ) (i.e. the radiance that would exit the ocean in the absence of the atmosphere if the Sun were at the zenith):

$$R_{rs}(\lambda) = nL_w / F_o(\lambda), \quad (14)$$

where  $F_o(\lambda)$  is the extraterrestrial solar irradiance (Neckel and Labs 1984).

The Windows Image Manager (WIM) and the Automation module (WAM) software (<http://www.wimsoft.com/>) was used to read the  $R_{rs}$  values from L2 imagery (HDF format). Low-quality pixels were removed from L2 datasets using NASA level 2 flags (appendix at [http://iod.ucsd.edu/~mmontes/publications/2006/International Journal of Remote Sensing](http://iod.ucsd.edu/~mmontes/publications/2006/International%20Journal%20of%20Remote%20Sensing)).  $R_{rs}$ -derived products (e.g. chl<sub>DS</sub> and  $\gamma_{bbp}$ ) were computed with customized software written within Visual Studio environment in C# and using object classes from Microsoft NET framework.

### 2.3 Algorithm validation

Validation of satellite-derived size-structure indices was carried out with *in situ* samples of chlorophyll *a* fractions (chl<sub>(>20 μm)</sub> and chl<sub>(0.45–20 μm)</sub>) collected between November 1997 and March 2006 over the WAP region by the Pal-LTER project. Regarding the Pal-LTER database size (>29 000 data), a program written in Matlab (version 7.1) was used to calculate the chlorophyll *a* fractions for each cruise. In general, chlorophyll *a* fractions were obtained at the 50% light level and within the first optical depth or  $1/K_d$  (~1.9–21 m when  $\lambda$  is 490 nm; ~90% of water-leaving radiance is originated within that layer; Gordon and McCluney 1975).

Samples of chl<sub>T</sub>, chl<sub>(>20 μm)</sub> and chl<sub>(0.45–20 μm)</sub> were analysed fluorometrically (Smith *et al.* 1981). The percentages of chl<sub>(>20 μm)</sub> and chl<sub>(0.45–20 μm)</sub> were calculated with respect to the non-fractionated chlorophyll *a* sample of the same light level depth. Each chlorophyll *a* fraction was normalized by the sum of both fractions in each station, and when chl<sub>(0.45–20 μm)</sub> was not available, the smaller chlorophyll fraction was computed by subtracting chl<sub>(>20 μm)</sub> from chl<sub>T</sub>.

Matching between field measurements of chl<sub>T</sub>, chl<sub>(>20 μm)</sub>, and chl<sub>(0.45–20 μm)</sub> and satellite remote-sensing indices of phytoplankton size-structure was performed in batch mode using a WAM matching routine. For each run, the maximum time difference between each ship survey and satellite pass was 4 h. Match-ups of near-shore pixels were eliminated due to the significant radiance contribution of sea-ice. Average and median values for each match-up were computed with a mask of 3-by-3 (MLAC) or 2-by-2 (GAC) pixels. The performance of phytoplankton size-structure indices was tested in two ways. In the first approach, monthly composites (mean of valid pixels, zero does not account) of indices were generated for each year and

classified using a binary mask to indicate those waters with dominance of ‘large’ ( $>20\ \mu\text{m}$ ) cells (e.g. size index threshold where  $\text{chl}_{(>20\ \mu\text{m})}$  contribution represents half or more of  $\text{chl}_T$ ). Notice that this threshold was derived from  $\gamma_{b_{bp}}$ –chlorophyll *a* fractions and  $\text{chl}_T$ –chlorophyll *a* fractions empirical relationships with a minimum time–space difference between satellite and *in situ* points. *A posteriori* and for each month, field samples of chlorophyll *a* fractions were grouped in sub-regions (inshore, middle shelf and shelf-break or slope; see figure 1) along two sampling lines (600 and 200), and the statistics (median and variance) for each group was calculated.

The same procedure was applied to classified satellite-derived size indices using 5-by-5 (LAC) or 3-by-3 (GAC) pixels. True detected points of  $\gamma_{b_{bp}}$  and  $\text{chl}_T$  indices were those samples where  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T \geq 0.5$  and were geolocated inside the sub-region of ‘large’ particle size distribution (dominance of phytoplankton  $>20\ \mu\text{m}$ ) or high  $\text{chl}_T$  values ( $>4\ \text{mg m}^{-3}$ ). Conversely, false detected points were those samples where  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  was smaller than 0.5 (dominance of phytoplankton  $\leq 20\ \mu\text{m}$ ) and fell inside a sub-region of ‘small’ particle size distribution (dominance of phytoplankton  $<20\ \mu\text{m}$ ) or low  $\text{chl}_T$  values ( $\leq 4\ \text{mg m}^{-3}$ ). The prediction error of the phytoplankton size-structure mask was computed as:

$$\varepsilon_{\text{Filter}(>20\ \mu\text{m})}(\%) = (1 - \text{True hits}/(\text{True} + \text{False hits}))100. \quad (15)$$

In the second approach, a time–space match-up similar to that proposed for chlorophyll *a* fractions vs phytoplankton size-structure indices was made for the main phytoplankton pigment signatures over the WAP. Since different phytoplankton classes have specific size cell ranges, the relative proportion of each taxonomic group with respect to total  $\text{chl}_T$  may be used as an estimator of phytoplankton size-structure (Vidussi *et al.* 2001, Bricaud *et al.* 2004). Pigment markers of chlorophytes (chlorophyll *b*), cryptophytes (alloxanthin), dinophytes (peridinin), diatoms (fucoxanthin), prymnesiophytes (19'-hexanoyloxyfucoxanthin and 19'-butonoyloxyfucoxanthin or 19'-BF) were obtained from the Pal-LTER database between 1998 and 2003. These summer samples were originally analysed by high-pressure liquid chromatography (HPLC) according to Garibotti *et al.* (2003a).

## 2.4 Statistical analysis

Accuracy of phytoplankton size-structure indices for estimating chlorophyll *a* fractions was evaluated using the root mean square error in  $\log_{10}$  scale (RMS log) of quantity between  $N$  derived and true chlorophyll *a* fraction ( $X$ ) values (O'Reilly *et al.* 1998):

$$\text{RMS log} = \left\{ \sum_{i=1}^{i=N} [\log_{10}(X_i^{\text{derived}}) - \log_{10}(X_i^{\text{true}})]^2 / N \right\}^{0.5}. \quad (16)$$

The difference between derived and true  $X$  values in linear scale is calculated as:

$$\varepsilon_{\text{rr}} = 0.5[(10^{\text{RMS log}} - 1) + (1 - 10^{-\text{RMS log}})]. \quad (17)$$

These same statistical calculations were applied to backscattering-pigment markers relationships to find out the phytoplankton classes more related to changes

in spectral shape of backscattering coefficients. To estimate the proportion of variation explained by the remote-sensing models, the coefficient of determination ( $R^2$ ) was calculated using minimization routines (Matlab 7.1).

### 3. Results

#### 3.1 Bio-optical indices of phytoplankton size-structure

**3.1.1 Spectral slope of particle and total backscattering coefficient.** To gain an insight into the mechanistic effect of diverse phytoplankton cell size spectra on  $\gamma_{b_{bx}}$  variability, it is fundamental to figure out first the effect on those optical variables (e.g.  $R_{rs}$ ) influencing most  $\gamma_{b_{bx}}$  behaviour.

The influence of two extreme cases of phytoplankton cell size distributions on  $R_{rs}(\lambda)$ , an indispensable optical property to derive  $\gamma_{b_{bp}}$ , is analysed in figure 2(a). Remote-sensing reflectance spectra showed two evident features within the blue-green spectral range: a lower  $R_{rs}$  slope between 443 and 490 nm for that profile with a smaller phytoplankton size-structure (dominance of cells  $<20\ \mu\text{m}$ ) and a reflectance peak circa 555 nm for the larger phytoplankton case (dominance of cells  $>20\ \mu\text{m}$ ). The ‘large’ case is an inshore station of line 400 taken on 2 February 2006 ( $\text{chl}_T=17.02\ \text{mg m}^{-3}$ ,  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T=0.86$ ) while the ‘small’ case is a middle

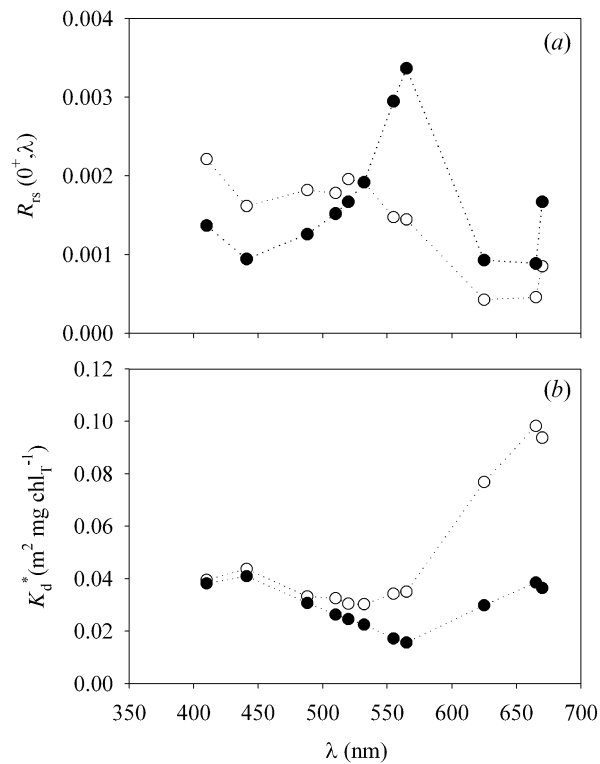


Figure 2. Optical measurements of profiling reflectance radiometer (PRR) in waters with different phytoplankton size-structure; ‘large cells’ ( $[\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T] \geq 0.5$ , solid circles), ‘small cells’ ( $[\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T] < 0.5$ , empty circles), (a) remote-sensing reflectance ( $R_{rs}$ ), (b)  $K_d$  chlorophyll *a*-normalized ( $K_d^*$ ). Notice that each curve corresponds to one cast.

shelf station of line 500 obtained on 6 January 2006 ( $\text{chl}_T = 5.43 \text{ mg m}^{-3}$ ,  $\text{chl}_{(>20 \mu\text{m})} / \text{chl}_T = 0.01$ ) (figure 1).

As described in §1, the effect of waters with a different phytoplankton size-structure may also have an effect on absorption properties of phytoplankton and, consequently,  $R_{rs}$  spectra.  $K_d$  is expected to be more affected by changes on light absorption with respect to those changes on light scattering. Therefore, and using the same PRR profiles of figure 2(a), spectral shapes of  $\text{chl}_T$ -normalized  $K_d(K_d^*)$  were plotted as a function of two phytoplankton communities with a different size-structure (figure 2(b)). Similar to  $R_{rs}$ , curve normalization allows biomass-independent effects to be analysed. In general, it seemed that changes on light absorption posed by a different phytoplankton size-structure were weak with respect to those observed with  $R_{rs}$  spectra. In both cases,  $K_d^*$  values have a medium around 440 nm, a minimum around 550 nm, and an abrupt increase above 550 nm. The first and second spectral features correspond to maximum and minimum phytoplankton absorption peaks due to chlorophyll *a*, while the last feature is consistent with the significant water absorption at longer wavelengths. Greater differences toward the red range were an artifact of  $K_d$  normalization (chlorophyll *a* and  $K_d$  at 490 nm values were more than three and 100 times lower in the ‘small’ than in the ‘large’ phytoplankton case profile).

Figure 3 shows that both PRR-derived and satellite-derived  $\gamma_{b_{bp}}$  values generally tended to decrease as the contribution of ‘large’ phytoplankton cells ( $>20 \mu\text{m}$ ) became greater. In both curves, only significant semi-log relationships were found when  $\text{chl}_{(>20 \mu\text{m})} / \text{chl}_T$  data were compared (table 2). Unlike PRR-derived  $\gamma_{b_{bp}}$  values (figure 3(a)), satellite-derived  $\gamma_{b_{bp}}$  estimates had a tighter relationship with  $\text{chl}_{(>20 \mu\text{m})} / \text{chl}_T$  field measurements due to a lower residual variability ( $\sim 20\%$ ) (figure 3(b)). Based on satellite data, the  $\gamma_{b_{bp}}$  threshold at which  $\text{chl}_{(>20 \mu\text{m})} / \text{chl}_T = 0.5$  was 1.668 (figure 3(b)). Further examination of statistical results revealed that the intercept of the  $\log - [\text{chl}_{(>20 \mu\text{m})} / \text{chl}_T] - \gamma_{b_{bp}}$  relationship did not change between field and satellite observations. However, the regression slope was significantly steeper for the PRR with respect to the SeaWiFS curve (table 2).

In order to confirm these satellite and PRR inverse functionalities between  $\text{chl}_{(>20 \mu\text{m})} / \text{chl}_T$  and  $\gamma_{b_{bp}}$  values, simultaneous measurements of *in situ* spectral  $b_b$  (water + particles), previously corrected by path-length attenuation, and  $\text{chl}_{(>20 \mu\text{m})} / \text{chl}_T$  values were compared (table 3). Range of optical properties in table 3 was representative of stations located in inshore and slope waters. Similar to PRR measurements, HydroScat-6 determinations under the influence of coastal effects (e.g. terrigenous detritus) were not considered part of the analysis. Blue-green absorption was driven mainly by phytoplankton ( $a_{ph}(440) / a_g(440)$  values between 19.8 and 188.7). A relatively large range in phytoplankton and gelbstoff absorption values ( $>30\%$ ) had little influence on  $\sigma(555)$  variability ( $<1\%$ ) even when shorter (greater absorption due to gelbstoff and phytoplankton) and longer (greater absorption due to water) wavelengths were considered (table 3). Also, correction by optical path elongation of photons travelling to the HydroScat-6 detector was minor compared with the larger variations of total backscattering ( $b_b(555)$  changes up to sevenfold).

Similar to particle backscattering spectral curves, spectral shapes of total backscattering also differed between waters dominated by ‘small’ ( $[\text{chl}_{(>20 \mu\text{m})} / \text{chl}_T] < 0.5$ ) and ‘large’ ( $[\text{chl}_{(>20 \mu\text{m})} / \text{chl}_T] \geq 0.5$ ) phytoplankton assemblages (figure 4(a)). For all wavelengths, waters dominated by ‘large’ phytoplankton cells

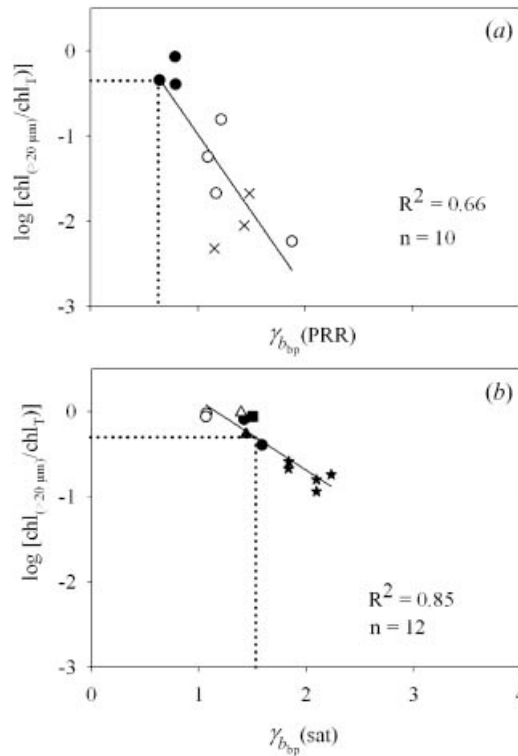


Figure 3. Semilog relationships between phytoplankton size-structure ( $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$ ) and spectral shape of particle backscattering coefficients; the spectral slope of the particle backscattering coefficient ( $\gamma_{b_{\text{bp}}}$ ) was computed from (a) PRR and (b) satellite  $R_{\text{rs}}$  values (sat) according to Carder *et al.* (2004). Most of the points in each curve ( $\sim 60\%$ ) were collected from inshore waters during 1999 (empty circles), 2000 (solid circles), 2001 (empty rectangle), 2002 (solid rectangle), 2003 (triangles), 2004 (crosses), and 2006 (stars). An exponential model of the form:  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T = A10^{-B/\gamma_{b_{\text{bp}}}}$  was applied to field and satellite datasets, and modeled  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  values are represented as a solid line. For each curve, the  $\gamma_{b_{\text{bp}}}$  threshold at which  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T = 0.5$  is indicated (dotted lines).

have greater  $b_b$  values with respect to those dominated by ‘small’ cells ( $\sim 3.5$ -fold at 555 nm). This difference was more pronounced at wavelengths above 600 nm where chlorophyll *a* fluorescence has a significant interference on  $\beta$  measurements (figure 4(a), left axis). As expected, the greater magnitude of  $b_b$  in that cast with a larger dominance of ‘large’ cells ( $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T = 0.89$ ) coincided with higher  $\text{chl}_T$  values ( $\text{chl}_T = 13.65\ \text{mg}\ \text{m}^{-3}$ ) with respect to that characterized by a smaller

Table 2. Fitting parameters of empirical relationships between  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  and  $\gamma_{b_{\text{bp}}}$ <sup>a</sup>.

	$\text{Log}_{10} A$	$B$	RMS log	$\epsilon_{\text{rr}}$
PRR	0.834 (0.567)	-1.818 (0.466)	0.464	1.285
SeaWiFS	0.949 (0.181)	-0.8189 (0.108)	0.127	0.297

<sup>a</sup>The regression coefficients were obtained by linear regression of the semilog values according to the model:  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T = A10^{-B/\gamma_{b_{\text{bp}}}}$ ; figures in parentheses indicate one standard error.

Table 3. Path length attenuation correction of uncorrected total backscattering values estimated from HydroScat-6 measurements<sup>a</sup>.

	Range	Average	Standard error
$a_{\text{ph}}^*(675)$	0.05–0.166	0.055	0.017
$\text{chl}_{b_b}$	0.15–13.65	2.71	1.41
$\text{chl}_{b_b(>20\ \mu\text{m})}/\text{chl}_{b_b}$	0.05–0.89	0.33	0.10
$a_g(440) 10^{-3}$	0.7–6.6	2.4	0.59
$\xi_g 10^{-3}$	5.1–15.3	9.7	1.2
$a_d(400) 10^{-3}$	0.3–13.6	3.4	1.4
$\xi_d 10^{-3}$	3.0–15.8	9.8	1.3
$\sigma(555)$	1.024–1.09	1.013	0.002
$b_b(555) 10^{-3}$	1.9–6.7	3.1	0.51

<sup>a</sup>Data were collected during January 2001.  $a_{\text{ph}}^*(675)$ : specific phytoplankton absorption at 675 nm ( $\text{m}^2 \text{mg chl}^{-1}$ );  $\text{chl}_{b_b}$  and  $\text{chl}_{b_b > 20\ \mu\text{m}}$  are total and  $>20\ \mu\text{m}$  chlorophyll *a* concentration between 0 and 10 m ( $\text{mg m}^{-3}$ );  $a_g(440)$ : gelbstoff absorption at 412 nm ( $\text{m}^{-1}$ );  $\xi_g$ : spectral slope of gelbstoff absorption curve between 412 and 590 nm ( $\lambda^{-1}$ ) (Fargion and Mueller 2000);  $a_d(400)$ : detritus absorption at 400 nm ( $\text{m}^{-1}$ );  $\xi_d$ : spectral slope of detritus absorption between 412 and 590 nm ( $\lambda^{-1}$ );  $\sigma(555)$  and  $b_b(555)$  are the sigma factor ( $\sigma = k_1 e^{K_{\text{exp}} K_{b_b}}$ ; Dana and Maffione 2002) and total backscattering coefficient computed at 555 nm.

dominance of ‘large’ cells ( $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T=0.05$ ) phytoplankton communities ( $\text{chl}_T=0.15\ \text{mg m}^{-3}$ ).

Another important characteristic of spectral  $b_b$  variability on figure 4(a) became evident when both curves were normalized to 442 nm (the shortest spectral channel of HydroScat-6) (figure 4(b), right axis). Although normalized  $b_b$  values of ‘small’ and ‘large’ phytoplankton size cases increased toward the blue range, this rise was more drastic for the water with a smaller phytoplankton size-structure. In view of this fact, the slope of the  $b_b(\lambda)$  spectra was calculated for all January 2001 datasets as a function of  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  by using the hyperbolic model in equation (1) (figure 4(b)). Similar to PRR and satellite  $\gamma_{b_{\text{bp}}}$  estimations, the magnitude of HydroScat-6-derived  $\gamma_{b_b}$  was inversely related to  $\log(\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T)$  values. Consistently, a similar semi-log function between  $\log(\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T)$  and  $\gamma_{b_b}$  values was found using HydroScat-6 data (intercept= $0.47 \pm 0.33$ , slope= $0.48 \pm 0.14$ ) and  $\gamma_{b_b}$  data estimated from  $b_b(555)$  following Reynolds *et al.* (2001) (see equation (3); intercept= $0.66 \pm 0.41$ , slope= $0.57 \pm 0.17$ ). Interestingly, RMS ( $\sim 0.25$ ) and  $\varepsilon_{\text{rr}}$  ( $\sim 0.61$ ) values were also comparable using both approaches. After subtracting the water backscattering contribution from  $b_b$ ,  $b_{\text{bp}}$  values were derived, even though no significant regressions between  $b_{\text{bp}}$  and  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  values could be obtained.

**3.1.2 Total chlorophyll *a*.** The second phytoplankton size-structure proxy investigated in this work was the total chlorophyll *a* concentration. Based on field  $\text{chl}_{(>20\ \mu\text{m})}$  measurements and satellite-derived  $\text{chl}_{\text{DS}}$  values, no significant relationships were detected between total and fractionated pigments (figure 5). The lack of fit was not related with the relatively small dataset resulting from satellite or PRR match-ups (figure 5(a)) since there was no clear pattern using the whole Pal-LTER dataset ( $n=979$ ) either (figure 5(b)). Phytoplankton samples characterized by ‘large’ cell distributions ( $[\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T] \geq 0.5$ ) were major components in both oligotrophic ( $<1\ \text{mg m}^{-3}$ ) and eutrophic ( $>4\ \text{mg m}^{-3}$ ) waters of the WAP region.

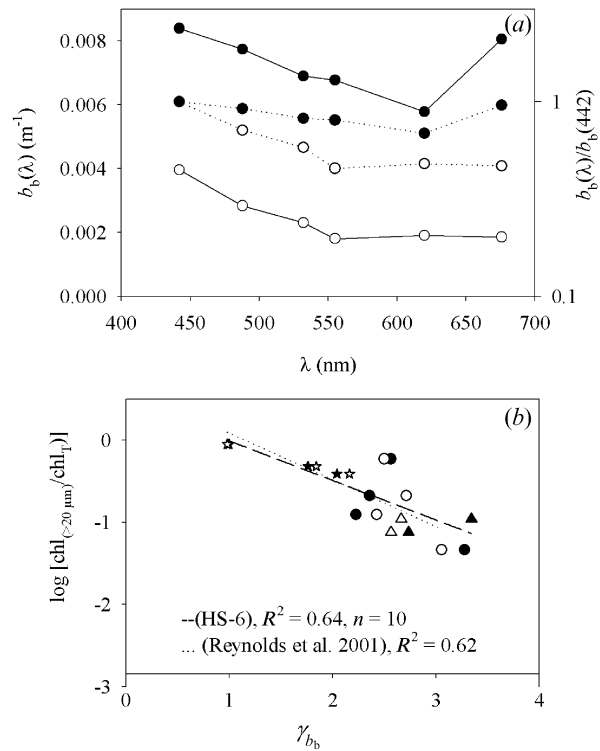


Figure 4. Spectral total backscattering as a function of phytoplankton size-structure: (a) mean  $b_b(\lambda)$  for the top 10 m of the water column and two types of phytoplankton size distributions, left axis, and solid lines:  $b_b(\lambda)$  measurements obtained from HydroScat-6 during January 2001, right axis and dotted lines: blue-normalized  $b_b(\lambda)$ , waters dominated by 'large cells' ( $[\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T] \geq 0.5$ , solid circles), and 'small cells' ( $[\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T] < 0.5$ ,  $\circ$ ); (b) semilog relationship between  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  and spectral  $b_b$  slopes measured by HydroScat-6 (solid symbols) and estimated from  $b_b(555)$  using Reynolds *et al.*'s (2001) model (empty symbols); inshore (stars), middle shelf (triangles), and shelf-break or slope (circles) waters. An exponential model of the form:  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T = A10^{-B\gamma_{b_b}}$  was applied to HydroScat-6 measurements and estimations based on Reynolds *et al.*'s (2001) algorithm, and modelled  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  values for each dataset are represented by a broken and dotted line, respectively.

### 3.2 Validation of phytoplankton size-structure indices

In a consistent way, field measurements of  $\gamma_{b_b}$  (HydroScat-6) and  $\gamma_{b_{bp}}$  estimations based on satellite and in-water  $R_{rs}$  measurements agreed that there is a link between bulk cell size of phytoplankton communities over the WAP region, as estimated from fractionated chlorophyll *a*, and spectral characteristics of backward scattered light. Unfortunately, due to the meteorological conditions of Antarctic waters (most of the time cloudy or sea-ice covered), additional field backscattering measurements for testing these functionalities are still lacking. However, to corroborate these relationships in the field, two supplementary methods were proposed: monthly analysis of satellite-derived  $\gamma_{b_{bp}}$  values and  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  data, and characterization of phytoplankton pigment composition. To illustrate the first method, two contrasting years were selected based on *in situ*  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  frequency distributions (figure 6). The January 2006 cruise was characterized by a widespread distribution of phytoplankton communities with a 'large' cell size and vice versa

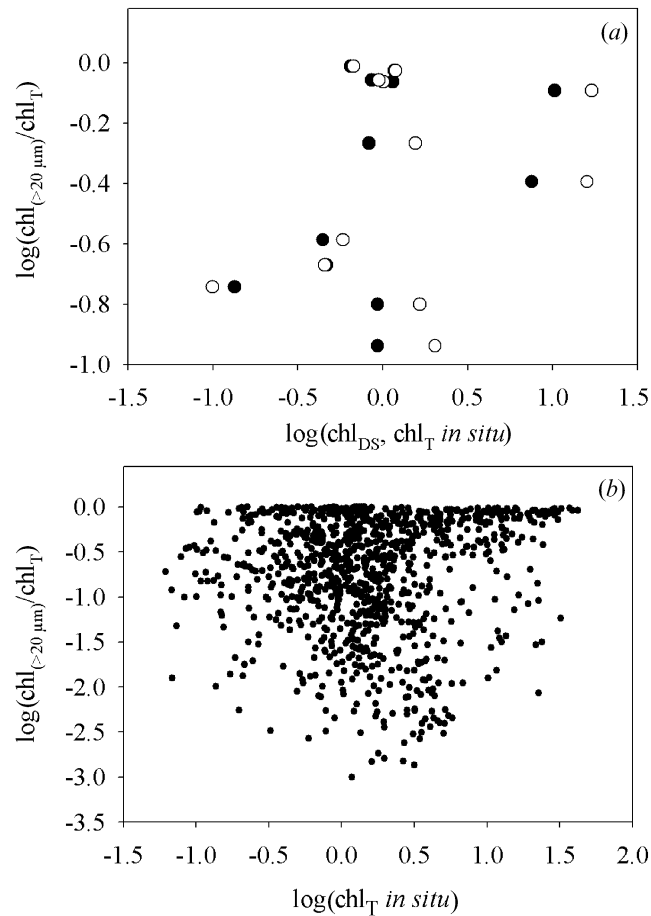


Figure 5. Semilog relationships between total and fractionated chlorophyll *a* samples over waters of the western shelf of the Antarctic Peninsula. (a) spatial and temporal match ups of  $\text{chl}_{>20 \mu\text{m}}/\text{chl}_T$  as a function of  $\text{chl}_{\text{DS}}$  (•) and field measurements (○); (b) dependence of  $\text{chl}_{>20 \mu\text{m}}/\text{chl}_T$  on  $\text{chl}_T$  values for the whole Pal-LTER dataset ( $n=979$ ).

during the January 2003 cruise. Notice that spatial resolution was GAC in both cases to maintain consistency during the evaluation of the phytoplankton  $>20 \mu\text{m}$  binary filter. In both years, satellite-derived  $\gamma_{b_{\text{bp}}}$  values evidenced smaller values (larger particle size) only over the WAP shelf and shelf-break, and in sub-Antarctic waters (figure 6(a) and (c)). The largest  $\gamma_{b_{\text{bp}}}$  values ( $>2$ ) were computed over those waters between the shelf-break of the WAP and sub-Antarctic waters. In general,  $\gamma_{b_{\text{bp}}}$  values were lower in 2006 with respect to 2003 over the WAP shelf (0.7 vs 2) and off the shelf-break (2 vs 3) (figure 6(c)). Likewise, the  $\gamma_{b_{\text{bp}}}$  boundary between sub-Antarctic waters and southern oceanic waters was not as well defined in January 2006 as in 2003. The binary filter for detecting waters ‘rich’ in large phytoplankton cells ( $[\text{chl}_{>20 \mu\text{m}}/\text{chl}_T] \geq 0.5$ ) is illustrated for the years 2003 and 2006 (figure 6(b) and (d)). Needless to say, the  $\gamma_{b_{\text{bp}}}$  threshold distribution indicates a mean geographical position for the patch enclosed by ‘large’ phytoplankton ( $>20 \mu\text{m}$ ) dominated waters (figure 3(b)). Based on the total integrated area of pixels (blue regions), the contribution of ‘large’ phytoplankton ( $>20 \mu\text{m}$ )-dominated waters during 2003 was



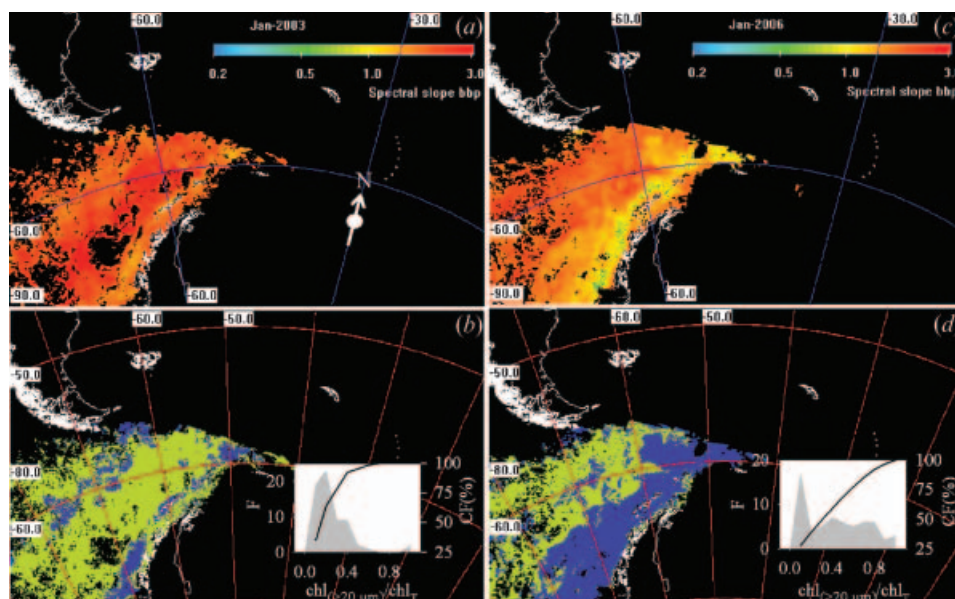


Figure 6. Validation of phytoplankton size-structure model in WAP waters based on spectral backscattering slope changes. SeaWiFS monthly composites of satellite-derived  $\gamma_{b_{bp}}$  values (Carder *et al.* 2004) are depicted in (a) and (c) for typical cruise surveys where waters dominated by ‘small’ (January 2003) and ‘large’ (January 2006) phytoplankton size distributions were widely common on fractionated chlorophyll *a* samples, respectively. Binary filter of  $\gamma_{b_{bp}}$  for waters where  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T \geq 0.5$  and  $\gamma_{b_{bp}} \leq 1.668$  (blue pixels), and  $[\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T] < 0.5$  and  $\gamma_{b_{bp}} > 1.668$  (green pixels) computed for January 2003 (b) and January 2006 (d) cruise. Based on field samples, histograms of  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  values are depicted for January of each year (inset of panel (b) and (d)), where the frequency distribution ( $F$ ) is indicated by a grey area (left axis), and the cumulative frequency probability ( $\text{CF}\% = 100$  [number of observations per bin/total number of observations]), right axis) is symbolized as a continuous line. Image composites are drawn using a conic Lambert projection.

one-fifth of that computed for 2006. Histograms of  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  field measurements confirmed this remarkable difference between years (figure 6(b) and (d), lower right inset). In 2003, about 92% of the  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  observations were concentrated in the 0.3–0.4 bin (60 data,  $n=65$ , cumulative distribution). In 2006, only 54% of the field measurements (33 data,  $n=61$ ) were counted in the same bin. Another important difference between 2003 and 2006 years regarding the  $\gamma_{b_{bp}}$  threshold was the horizontal distribution of values below 1.668 (i.e. those dominated by  $>20\ \mu\text{m}$  cells) which were almost concentrated along the shelf-break in 2003 and widespread over inshore, shelf, and shelf-break waters in 2006.

The performance of the  $\gamma_{b_{bp}}$  binary mask to discriminate phytoplankton assemblages with a different size-structure was evaluated for different WAP zones and years using all data collected by ship surveys. Although these datasets did not necessarily correspond in time with satellite measurements, the ability to distinguish areas with dominance of ‘large’ vs ‘small’ phytoplankton cell size classes was exemplary ( $\varepsilon_{\text{Filter}} (>20\ \mu\text{m}) = 21\%$ ) (table 4). False hits were connected to sampling aliasing in those areas with the highest spatial variability and misrepresentation of satellite-derived  $\gamma_{b_{bp}}$  values in the monthly scale (figure 7). For instance, for January 2006, a likely mismatch occurred in those areas with a small number of satellite observations per pixel (figure 7(a)) and/or with the largest spatial variability (figure 7(b)).

Table 4. Statistical results for the performance of the phytoplankton size-structure model based on spectral backscattering slope changes<sup>a</sup>.

Year	Line	WR	mchlf	se1	mechlf	Fchlf	n1	mchl	se2	mechl	Fp20	np
1999	600	I	0.93	0.05	0.98	<b>1.00*</b>	8	0.98	0.19	1.08	<b>0.00*</b>	8
	200		0.93	0.02	0.94	1.00	4	1.09	0.14	1.11	1.00	4
2000	600	I	0.03	0.02	0.03	0.00	4	1.04	0.45	1.05	0.00	2
	200		0.35	0.06	0.34	0.00	2	1.30	1.06	1.30	0.00	2
	200	M	0.21	0.04	0.21	0.00	2	0.66	0.07	0.66	0.00	2
2001	600	S	0.19	0.09	0.15	0.00	3	0.12	0.02	0.13	0.00	3
	200	I	0.58	0.11	0.59	0.50	4	3.04	0.98	2.63	0.75	3
	600	M	0.09	0.01	0.09	0.00	3	2.30	0.40	2.48	0.33	3
2003	600	S	0.17	0.04	0.17	0.00	2	1.26	0.93	1.26	0.00	2
	600	I	0.05	0.02	0.03	0.00	3	2.06	0.20	2.05	0.00	2
	200		0.22	0.13	0.12	<b>0.14*</b>	7	1.13	0.12	1.27	<b>0.58*</b>	6
2004	600	M	0.04	0.05	0.02	0.00	4	1.19	0.33	1.44	0.00	4
	200		0.13	0.04	0.13	0.00	4	0.39	0.10	0.42	0.25	4
	600	S	0.20	0.02	0.21	0.00	6	0.17	0.03	0.15	0.00	6
	200		0.21	0.08	0.12	0.00	3	0.11	0.01	0.12	0.00	3
	600	I	0.03	0.03	0.01	0.00	3	3.81	7.00	1.17	0.00	3
	200		0.54	0.01	0.52	<b>1.00*</b>	2	0.72	0.01	0.72	<b>0.00*</b>	2
2005	600	M	0.03	0.02	0.02	0.00	3	3.46	0.85	4.13	0.00	4
	200		0.59	0.11	0.51	0.75	4	0.48	0.05	0.51	0.67	3
	600	S	0.09	0.02	0.10	0.00	4	0.60	0.36	0.27	0.00	4
	200		0.45	0.06	0.45	0.50	2	0.32	0.03	0.32	0.00	2
	600	I	0.03	0.01	0.03	0.00	4	2.46	0.24	2.61	0.29	4
	200		0.32	0.13	0.23	0.38	7	10.19	3.50	7.33	0.00	4
2006	600	M	0.02	0.01	0.01	0.00	4	1.17	0.24	1.17	0.33	3
	200		0.01	0.01	0.01	<b>0.00*</b>	4	2.70	0.54	3.20	<b>1.00*</b>	4
	600	S	0.02	0.01	0.02	0.00	6	0.49	0.19	0.36	0.00	5
	200		0.05	0.02	0.06	0.00	3	0.52	0.38	0.15	0.00	3
	600	I	0.17	0.16	0.03	0.00	3	3.54	0.98	3.89	0.20	3
	200		0.72	0.09	0.79	<b>0.80*</b>	5	8.95	0.80	8.73	<b>0.40*</b>	5
2006	600	M	0.11	0.07	0.06	<b>0.00*</b>	4	5.00	1.64	3.65	<b>1.00*</b>	4
	200		0.78	0.08	0.79	1.00	4	8.44	1.63	8.53	1.00	4
	600	S	0.22	0.16	0.07	<b>0.25*</b>	4	1.69	0.67	1.20	<b>1.00*</b>	4
	200		0.40	0.05	0.41	0.17	6	0.49	0.07	0.49	1.00	6

<sup>a</sup>Line is the sampling transect (Pal-LTER grid) which is across the WAP shelf; WR: regions within the Pal-LTER study area; I: inshore; M: middle shelf; S: shelf-break or slope; mchlf: average of  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  samples and its standard error (se1) and number of samples (n1); mechlf: median of  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  samples; Fchlf: proportion of number of field measurement that were greater or equal to 0.5; mchl: average of  $\text{chl}_T$  samples ( $\text{mg m}^{-3}$ ) and its standard error (se2); mechl: median of  $\text{chl}_T$  samples in  $\text{mg m}^{-3}$ ; Fp20: proportion of number of satellite measurements where  $\gamma_{b_{\text{bp}}} \leq 1.668$  (i.e.  $[\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T] \geq 0.5$ ); the number of stations with valid pixels (np) is summarized in the last column. Mismatch between satellite and field data is shown by an asterisk. Comparisons with only one sample were excluded.

The second tool used to confirm the consistency of  $\gamma_{b_{\text{bp}}}$  as an index of phytoplankton size-structure over the WAP region was the analysis of phytoplankton photosynthetic pigment signatures. Fucoxanthin and 19'-BF were the only pigment markers that had a significant relationship with satellite-derived  $\gamma_{b_{\text{bp}}}$  values (figure 8(a) and (b)). In both cases, there was an inverse relationship but 19'-BF ( $19'\text{-BF} = -0.048 \pm 0.012\gamma_{b_{\text{bp}}} + 0.111 \pm 0.020$ ,  $\text{RMS log}=0.16$ ,  $\varepsilon_{\text{rr}}=0.37$ ) had a better linear fit than fucoxanthin ( $\text{fucoxanthin} = -0.072 \pm 0.032\gamma_{b_{\text{bp}}} + 0.217 \pm 0.055$ ,  $\text{RMS log}=0.21$ ,  $\varepsilon_{\text{rr}}=0.50$ ). Consistently, further analysis using  $b_b$  measurements from HydroScat-6 also evidenced a selective influence of these pigments on

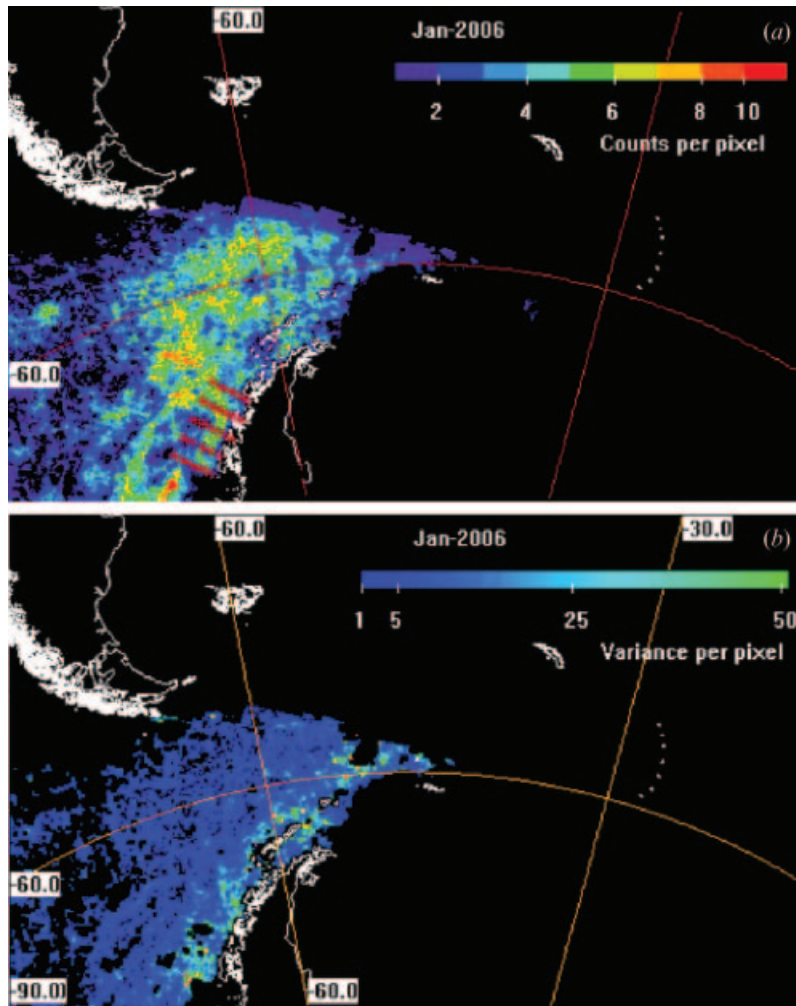


Figure 7. Spatial variability on statistical parameters of satellite-derived spectral particle backscattering slope. The case study corresponds to January 2006, so the GAC imagery was processed: (a) number of images with valid data per pixel used for the monthly composite (nominal sampling grid stations shown as red crosses); (b) variance of satellite observations of  $\gamma_{b_{bp}}$  per pixel.

$\gamma_{b_b}$  values ( $19' - BF = -0.249 \pm 0.09\gamma_{b_b} + 0.767 \pm 0.222$ ,  $RMS \log = 0.39$ ,  $\varepsilon_{rr} = 1.02$ ,  $fucocanthin = -1.669 \pm 0.664\gamma_{b_b} + 5.27 \pm 1.64$ ,  $RMS \log = 0.30$ ,  $\varepsilon_{rr} = 0.73$ ) (figure 8(c) and (d)). No comparisons could be made between PRR-derived  $\gamma_{b_{bp}}$  values and HPLC pigments because of the reduced number of matchups ( $n < 3$ ). Other major (alloxanthin and 19'-hexanoylofucoxanthin) and minor (chlorophyll *b*, peridinin) phytoplankton signatures over WAP waters seemed to vary independently from changes on spectral backscattering characteristics of particles.

#### 4. Discussion

The WAP region has been undergoing remarkable ecological changes in the last decade (e.g. decline of Adelle penguin; Fraser and Patterson 1997) that could be

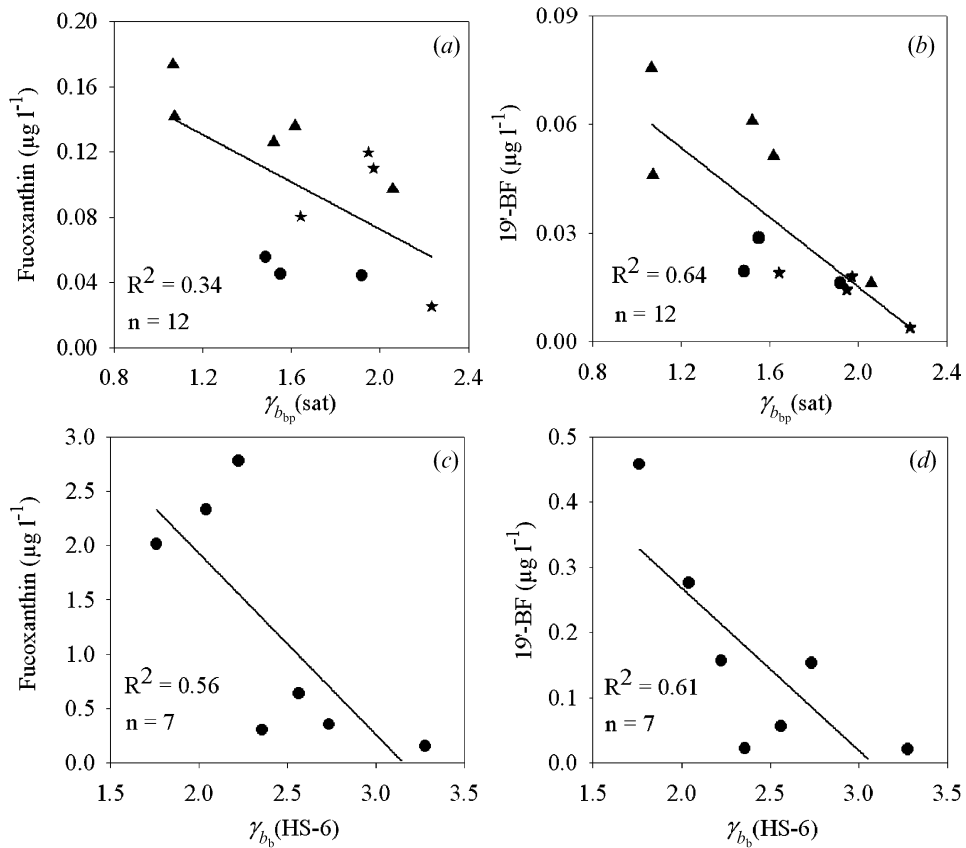


Figure 8. Relationships between phytoplankton photosynthetic signatures and spectral slopes of satellite-derived (sat) particle backscattering, and HydroScat-6 (HS-6)-derived total backscattering. (a) fucoxanthin vs  $\gamma_{b_{bp}}$ ; (b) 19'-butanoyloxyfucoxanthin or 19'-BF vs  $\gamma_{b_{bp}}$ ; (c) fucoxanthin vs  $\gamma_b$ ; (d) 19-butanoyloxyfucoxanthin or 19'-HF vs  $\gamma_b$ . Linear regressions are shown with a solid line. HPLC data corresponded to January of 1998 (circles), 1999 (triangles), and 2003 (stars). Fifty per cent of the stations were over slope waters.

attributed to modifications of lower trophic levels such as the replacement of phytoplankton communities dominated by 'large' cells (e.g. diatoms  $>20 \mu\text{m}$ ) by communities dominated by 'small cells' (e.g. microflagellates) (Moline *et al.* 2004a). Due to the harsh and changing meteorological conditions of Antarctic waters, summer shipboard surveys are challenging and may not be enough to characterize the true variability of the phytoplankton size-structure over large regions. Therefore, there is an urgent need to develop synoptic and global coverage tools such as satellite algorithms to monitor ecological trends on phytoplankton communities of WAP waters. In this work, existing optical models were applied to investigate potential relationships between total chlorophyll *a* concentration, particle size distribution and phytoplankton size-structure over the WAP region and adjacent marine areas. Reflectance-based models of  $\text{chl}_T$  (equation (4)) and particle size distribution (equations (2) and (3)) were used as indices of the mean bulk cell size of phytoplankton assemblages.

In the next section, the physics and optical components behind the bio-optical relationship between optical indices ( $\gamma_{b_{bx}}$ ,  $\text{chl}_{DS}$ ) and phytoplankton size-structure,

closure between different backscattering measurements and phytoplankton bulk cell size characteristics, and validation of backscattering indices of the phytoplankton size-structure will be analysed.

#### 4.1 Physics and optical components behind the bio-optical relationship between $\gamma_x$ , chl<sub>DS</sub>, and phytoplankton size-structure

The spectral slope of the particle and total backscattering were the first type of optical index tested in this work to retrieve the size-structure of phytoplankton assemblages of the WAP. In a consistent way, significant functionalities were obtained between  $\gamma_{b_{bp}}$ ,  $\gamma_{b_b}$  and phytoplankton chlorophyll *a* fractions (figures 3 and 4), and this implies that changes in the phytoplankton size-structure affect the spectral characteristics of backscattered light in Antarctic waters of the WAP region. This fact is particularly intriguing given that most of the total backscattering in the ocean is believed to have originated from non-phytoplankton particles such as detritus and colloidal components (Stramski *et al.* 2001, Stramski and Wozniak 2005). Whether the inverse relationship observed between chl<sub>(>20 μm)</sub>/chl<sub>T</sub> and spectral backscattering slopes was caused by different phytoplankton or phytoplankton-derived detritus size distributions is not known. For the Southern Ocean, a general correlation between  $a_{ph}$ ,  $a_d$ , and  $a_g$  values has been pointed out (Mitchell and Holm-Hansen 1991, Reynolds *et al.* 2001) and supports the fact that phytoplankton biomass and their metabolic dissolved and particle organic compounds are interdependent. Hence, it is not unrealistic to think that larger phytoplankton communities might be a source of larger particles populations and vice versa. Unfortunately, as pointed out by Stramski *et al.* (2004), no measurements of backscattering of ‘well-characterized’ organic detritus particles isolated from ocean waters have been made. Furthermore, inorganic particles of terrigenous origin could not be responsible for the spectral backscattering variability observed during comparisons because the near-shore bio-optical domain, highly influenced by glacial silt, was not included in the scope of the present study.

Unlike cell size, the potential influence of other phytoplankton community characteristics such as cell shape and composition on spectral  $\gamma_{b_{bx}}$  variability might be expected to be minor, since these last parameters have a narrow range of variation (e.g. index of refraction; Ahn *et al.* 1992) or affect greatly other optical properties of phytoplankton such as the backscattering efficiency for a given wavelength (Quirantes and Bernard 2004).

Another important result of this work was that ‘large’ phytoplankton cells (>20 μm) had the strongest influence shaping spectral  $b_b$  and  $b_{bp}$  curves as  $[\text{chl}_{(>20 \mu\text{m})}/\text{chl}_T] - \gamma_{b_{bx}}$  curves and phytoplankton photosynthetic pigment markers revealed. Mechanistically, the dependence of chl<sub>(>20 μm)</sub>/chl<sub>T</sub> on  $\gamma_{b_{bx}}$  can be clearly related to  $R_{rs}(443)/R_{rs}(488)$  changes (equation (2)). When phytoplankton communities are populated by smaller cells, upwelling light leaving the sea-surface becomes blue-enriched. In other words, smaller particles, including phytoplankton, will tend to scatter shorter wavelengths. This spectral switch was not caused by light absorption modifications as shown by  $K_d$ , a quasi-inherent optical property highly dependent on  $a$  (figure 2(b)). Also, spectral changes in  $R_{rs}$  due to variations of phytoplankton biomass would be expected to be minor, since these changes are evident at longer wavelengths (~555 nm) (figure 2(a)).

Based on experimental and theoretical (Mie theory) spectral backscattering efficiency factors  $(\overline{Q_{b_b}} = b_b [\pi/4 \int_0^\infty F(D) D^2 d(D)]^{-1})$ , where  $F(D)d(D)$  was the number

of cells per cubic metre in the diameter interval  $D$  to  $D+d(D)$  per cell) of nine phytoplankton species, Ahn *et al.* (1992) consistently found that  $\overline{Q_{b_b}}$  for very small cells had almost no spectral dependence, since  $\overline{Q_{b_b}}$  varies as  $\lambda^{-2}$ . For the same reason, relatively small optical constituents such as colloids and detritus might exert a minor effect on spectral backscattering shapes. Conversely, large cells present more featured  $\overline{Q_{b_b}}$  spectra because of the effect of absorption upon backscattering. This phenomenon is predicted by the anomalous dispersion theory and is more evident in those spectral regions near absorption bands (e.g. 440 and 675 nm for phytoplankton; Van de Hulst 1957). In this work,  $b_b$  spectra determined with HydroScat-6 had a characteristic monotonic increase from long to short wavelengths, as previously observed with lab  $\overline{Q_{b_b}}$  measurements of phytoplankton cultures (Vaillancourt *et al.* 2004) and *in situ*  $b_{bp}$  spectra in the Baltic Sea (Aas *et al.* 2005). In contrast, Mie theory calculations of  $\overline{Q_{b_b}}$  for different phytoplankton species suggested an opposite trend (Ahn *et al.* 1992). This apparent discrepancy is mainly explained by the fact that Mie inversion calculations assume spherical and monodisperse particle populations following Junge-type size distributions. Increasing evidence based on more complicated scattering models (e.g. coated spheres, spheroids; Gordon and Du 2001, Quirantes and Bernard 2004) and *in situ* measurements of particle spectra (e.g. polydisperse and log-normal distributions; Twardowski *et al.* 2004) have shown that Mie theory assumptions are currently not met in nature.

The second type index proposed to distinguish changes on phytoplankton size-structure of WAP waters was the total concentration of chlorophyll *a*. Overall, neither *in situ* measurements nor satellite chl<sub>T</sub> estimates using Dierssen and Smith's (2000) algorithm were successfully linked to chl<sub>(>20 μm)</sub>/chl<sub>T</sub> variability. Conversely, Bidigare *et al.* (1996) stated that chlorophyll-rich waters are associated with abundant 'large' phytoplankton cells. As noticed by Garibotti *et al.* (2003a), this pattern is not always true over the Pal-LTER research site. Most important phytoplankton blooms in Antarctic waters may be originated by phytoplankton classes with mean cell size distributions larger than 20 μm (e.g. large diatoms like *Coscinodiscus bouveti*) or smaller than 10 μm (e.g. cryptophytes) (Garibotti *et al.* 2005). Therefore, chl<sub>T</sub> or chl<sub>DS</sub> is an ambiguous index and cannot be used as a reliable proxy of phytoplankton cell size spectra.

#### 4.2 Closure between different backscattering measurements and phytoplankton bulk cell size characteristics

The link between spectral backscattering characteristics and phytoplankton size-structure was consistently checked with satellite-derived and *in situ* measurements of reflectance using a global model of  $\gamma_{b_{bp}}$ , and *in situ* spectral measurements of total backscattering. Although an inverse mathematical function described both satellite and PRR reflectance- $\gamma_{b_{bp}}$  relationships, the slope of the linear regression was significantly different between them. This apparent discrepancy could possibly be attributed to the field-of-view and sampling timing differences between the two sensors. Match-ups between *in situ*  $R_{rs}$  measurements and chl<sub>(>20 μm)</sub>/chl<sub>T</sub> values were almost concurrent (within 30 min) and spatially coincident while satellite-derived  $R_{rs}$  measurements were collected within 4 h of the ship survey and corresponded to an area between 3 and 9 km<sup>2</sup>. Another explanation for such an apparent discrepancy between the slopes of  $\gamma_{b_{bp}}$ -chl<sub>(>20 μm)</sub>/chl<sub>T</sub> *in situ* and satellite relationships could be related to the fact that match-up dates of field and satellite measurements were not necessarily the same because of the methodological

differences between PRR and space sensors to define clear vs cloudy conditions. Sky pictures taken by a camera were used to detect overcast days during PRR determinations while SeaWiFS ‘cloudy’ pixels were flagged out based on a reflectance threshold. Since satellite match-up is made with a filter of ten (LAC images) or five (GAC) pixels, the probability of finding pixels not fully cloudy is greater with respect to field measurements. Likewise, part of the discrepancy comparing field and satellite data is caused by the temporal mismatch of satellite passes (e.g. no 2004 SeaWiFS imagery was collected within 4 h of the ship surveys).

In the visible range, *in situ* measurements of total spectral backscattering also supported the optical functionality found with  $\gamma_{b_{bp}}$  estimates (i.e. larger  $\gamma_{b_b}$  values when particles/phytoplankton size distributions are smaller). Furthermore, calculations of  $\gamma_{b_b}$  were very consistent with those derived from HydroScat-6 measurements of  $b_b(555)$  and using the empirical model developed for Ross Sea and Antarctic front waters using the same optical instrumentation (equation (3); Reynolds *et al.* 2001). This remarkable agreement between the two  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T - \gamma_{b_b}$  relationships (figure 4(b)) showed that  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T - \gamma_{b_b}$  functionality obtained in this study might also be valid in other Antarctic waters besides the WAP region.

Similar to semi-analytical expressions based on Mie theory (Ahn *et al.* 1992, Stramski *et al.* 2001), calculation of  $b_b$  from HydroScat-6 measurements is approximated. For a fixed scattering angle,  $b_b$  and  $\beta$  values were related in this work with a dimensionless coefficient  $\chi$ , which was assumed wavelength-independent for scattering angles between 100 and 150°, and has implicit water and particle contributions (Dana and Maffione 2002). For scattering angles below 120°, the conversion factors for the total ( $\chi$ ) and particle ( $\chi_p$ ) component are the same (Boss and Pegau 2001). However, at angles above 110°,  $\chi$  departs significantly from  $\chi_p$  due to a larger influence of the pure seawater contribution to  $\beta$  (Chami *et al.* 2006). Based on volume scattering function measurements using phytoplankton cultures, even greater spectral differences on  $\chi$  may be observed during phytoplankton blooms, since  $\chi_p$  is very sensitive to size distribution of particles. These authors found that spectral variations of  $\chi_p$  corresponding with uncertainties of circa 10% and 25% on  $b_b$  might be expected for non-blooming oceanic waters and phytoplankton bloom conditions, respectively. Although significant, this error was minor with respect to the largest differences computed on  $\gamma_{b_b}$  in waters with varied  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  (0.05–0.85%, figure 4(b)) ( $\Delta\gamma_{b_b}$  of normalized values up to 155%).

### 4.3 Validation of backscattering indices of phytoplankton size-structure

In this work, functionality between  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  and  $\gamma_{b_{bp}}$  values was first verified with a binary filter based on a chlorophyll *a* size fraction cutoff ( $\gamma_{b_{bp}}$  value when  $\text{chl}_{(>20\ \mu\text{m})}$  exceeds or equal 50% of relative contribution to  $\text{chl}_T$ ) and applied to SeaWiFS imagery. This supervised classification method is an approximation, which implies that detection of waters dominated by ‘large’ phytoplankton will occur when that community accounts for the largest portion of biomass in the sample. A binary filter was used instead of a continuous distribution of  $\gamma_{b_{bp}}$  to map spatial changes on phytoplankton size-structure of WAP waters for three reasons: (1) the  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T - \gamma_{b_{bp}}$  algorithm was designed to discriminate microphytoplankton (>20  $\mu\text{m}$ ) from pico/nanophytoplankton (<20  $\mu\text{m}$ ), main phytoplankton size classes driven food webs (diatom-based vs flagellate-based) in Antarctic waters (Walsh *et al.* 2001); (2) more data are needed to minimize time–space mismatch of  $\gamma_{b_{bp}}$  index validations and obtain a greater number of size classes categories; (3) an implicit

error of  $\text{chl}_{(>20\ \mu\text{m})}/\text{chl}_T$  estimation will limit the number of reliable size classes categories estimated.

Despite the time–space mismatches between field and satellite observations in the monthly scale, the binary filter had a very accurate performance (efficiency  $\sim 80\%$ ) to differentiate waters chlorophyll-dominated by  $>20\ \mu\text{m}$  cells during January of several years and different WAP regions (table 4, figure 6). Given that main phytoplankton assemblages of the WAP (diatoms and cryptophytes) have a different size-structure and are strongly affected by the timing of sea-ice retreat (Garibotti *et al.* 2003a, 2005), a high-ice year (2006) and low-ice year (2003) were chosen to confirm the ability of the binary filter. By December 2006, the sea-ice extent (i.e. sea-ice area enclosed by the 15% sea-ice concentration contour,  $\text{km}^2$ ) (Smith and Stammerjohn 2001) was 27% higher than that estimated with satellite data (SMMR-SSM/I) in 2003 for the same period (Dr. Sharon Stammerjohn, pers. comm.). Therefore, and based on microscopic observations (Garibotti *et al.* 2003a, 2005), a large bloom of large diatoms might be expected during the high ice year (2006) due to a higher water-column stability during the summer (Mitchell and Holm-Hansen 1991) caused by a greater sea-ice extent in the previous winter and a larger delay on sea ice retreat in the previous spring (Smith and Stammerjohn 2001). In figure 6, the binary filter showed that ‘large cells’ dominated during 2006 principally throughout coastal and middle shelf waters of the WAP. Notice that some ‘large cells’ patches were also detected offshore the continental shelf (a more continuous feature in 2003). These distributions may correspond with large or long-chained diatom blooms (e.g. *Thalassiothrix* spp.) characteristics of the Antarctic Polar Front (Smetacek *et al.* 2002, Tremblay *et al.* 2002). During the summer seasons with a low sea-ice extent such as 1997 and 1999, ‘small size cell’ phytoplankton communities (unidentified flagellates-cryptophytes and small-open water diatoms such as *Fragillariopsis* spp.) inhabit most of the inshore, middle shelf, and shelf-break slope waters of the WAP (Rodriguez *et al.* 2002, Garibotti *et al.* 2003a). In January 2003, pixels flagged with a high contribution of  $\text{chl}_{(>20\ \mu\text{m})}$  were computed around Renauld Island and north of Anvers Island where coastal large solitary or long-chained diatoms, colonial prymnesiophytes (*Phaeocystis* spp.), and large prasinophytes (*Pyramimonas* spp.) were not abundant (figure 1, Garibotti *et al.* 2003a).

The analysis of  $\gamma_{b_{bp}}$  and  $\gamma_{b_b}$  variability as a function of principal photosynthetic pigment markers over the study area was the second tool used to determine the suitability of  $b_b(\lambda)$  and  $b_{bp}(\lambda)$  spectra as an index of the size-structure of WAP phytoplankton communities. The implicit assumption of backscattering-pigment marker relationships is that changes on pigment marker due to phytoplankton photo-acclimation over the WAP region are negligible with respect to those changes caused by a greater abundance of phytoplankton cells. Garibotti *et al.* (2003b) found no evidence of changes in cell pigment ratios due to light variations in the three phytoplankton assemblages mentioned above, and this result may be expected in other areas as well, since phytoplankton growth is closely related to local environmental conditions (Smith and Sakshaug 1990).

Unsurprisingly, fucoxanthin, the pigment signature of one of the phytoplankton classes with the largest cells (diatoms) was inversely related to spectral backscattering slope values. Although fucoxanthin is well correlated with diatom abundances over the Pal-LTER grid (Garibotti *et al.* 2003b), other phytoplankton classes (chrysophytes, raphidophytes, and pelagophytes) could also share this photosynthetic pigment marker (Rodriguez *et al.* 2002). However, and based on the



literature, no blooms of these taxonomic groups have ever been reported for WAP waters. An unexpected finding resulted when the pigment marker of type IV prymnesiophytes (19'-BF) covaried in a negative way with  $\gamma_{b_{bx}}$  values. Although type IV prymnesiophytes are characterized by relatively small flagellate cells ( $<3\ \mu\text{m}$ ), the most abundant species of this taxonomic group in Antarctic waters (*Phaeocystis* sp.) can form large colonies with diameters 50–1000  $\mu\text{m}$ , easily above 20  $\mu\text{m}$  (Hong *et al.* 1997). Since *Phaeocystis* sp. starts growing in spring, and the colony-formation mechanism is basically triggered by nutrient limitation (phosphates), it is probable that a significant proportion of pixels flagged 'large cells' over Marguerite Bay during phosphate-poor conditions of summer (January) represented *Phaeocystis* sp. aggregates. Greater abundances of *Phaeocystis* sp. are commonly observed not only in Marguerite Bay but in other areas of the Southern Ocean such as the Bransfield Strait and Ross Sea (Hong *et al.* 1997, Rodriguez *et al.* 2002).

## 5. Summary and conclusions

This work has first attempted to classify phytoplankton communities on Antarctic waters based on size-structure indices. Unlike absorption algorithms where phytoplankton size fractions are categorized according to their physiological (Ciotti *et al.* 1999) or pigment characteristics (Vidussi *et al.* 2001, Bricaud *et al.* 2004), the approach proposed in this research is independent of phytoplankton photoacclimation or pigment composition. Thus, more direct estimates are obtained, since phytoplankton size classes are differentiated according to their spectral backscattering differences similar to those size distributions of total particles. The main intention of this study was to determine the relationships between two remote-sensing products (spectral backscattering slopes of  $b_b$  and  $b_{bp}$  and chl<sub>T</sub>) and phytoplankton size-structure variations on waters west of the Antarctic Peninsula. Although this study did not directly quantify phytoplankton cell size distributions, predictable changes on  $\gamma_{b_{bx}}$  due to phytoplankton assemblages with different size fractions were consistently demonstrated. The global model to calculate the slope of spectral particle backscattering was a satisfactory index for estimating phytoplankton cell size distributions over the Pal-LTER grid and other adjacent marine waters such as Bellinghausen and Drake Sea. The phytoplankton size-structure index developed in this study will be applied in the future to study long-term trends in phytoplankton communities of WAP waters.

## Acknowledgements

This work was supported by the National Science Foundation (OPP-90-11927, OPP-96-32763, OPP-02-17282) and NASA funding (NNG04GL55G; KLC). We thank Wendy Kozlowski, Karie Sines, Karen Pettersen, and Karen Baker for technical assistance, data access, and field surveys. We thank Dr. Scott Pegau for sharing HydroScat-6 during field surveys. Also, we thank Heidi Dierssen for collecting optical measurements. This study is Palmer-LTER contribution #0289.

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